


Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 033337-0131		SERIAL NO. 09/927,439	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				APPLICANT John MELLERT et al.			
				FILING DATE 08/13/2001		GROUP ART UNIT 2872	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
Ty	A1	5,535,037	07/09/96	Yoneyama		TECHNOLOGY CENTER 2800	
Ty	A2	5,625,481	04/29/97	Tamura et al.			
Ty	A3	5,764,405	06/09/98	Alphonsus			
Ty	A4	5,926,304	07/20/99	Tajima			
Ty	A5	5,926,263	07/20/99	Lynch et al.			
Ty	A6	5,969,833	10/19/99	Jensen			
Ty	A7	6,025,949	02/15/00	Anderson			
Ty	A8	6,028,684	02/22/00	Kidorf			
Ty	A9	6,134,032	10/17/00	Kram et al.			
Ty	A10	6,292,288	09/18/01	Akasaka et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
Ty	A11	G. S. Glaesemann, "Optical Fiber Failure Probability Predictions From Long-Length Strength Distributions", 40th International Wire and Cable Symposium, 1999, Pages 819-825.					
Ty	A12	Ivan P. Kaminow et al., "Optical Fiber Telecommunications 111B, Pages 101-103.					
Ty	A13	Ivan P. Kaminow et al., "Optical Fiber Telecommunications 111A, Pages 60-61, 279-281 and 330.					
Ty	A14	International Telecommunication Union, "Series G: Transmission Systems and Media, Digital Systems and Networks", October, 2000, Pages 1-17.					
Ty	A15	K. Takada, "Low-cross-talk polarization-insensitive 10-GHz-spaced 128-channel arrayed-waveguide grating multiplexer-demultiplexer achieved with photosensitive phase adjustment", Optical Society of America Pub., 2001, Pages 64-65.					
Ty	A16	Bell Labs Technical Journal, October-December, 1999, Pages 138-154.					
Ty	A17	Ravi V. Shankar et al., "Managing the Management Communications Network in Optical Transport Systems", Bell Labs Technical Journal, October-December 1999, Pages 155-170.					
Ty	A18	AT&T Technical Journal, "Undersea Communications Technology", January/February, 1995, Volume 74, No. 1, Pages 1-103.					
Ty	A19	P.K. Runge, "AT&T Optical Amplifier Systems", Pages 72-77.					
Ty	A20	R.A. Jensen et al., "Line Monitoring of Undersea Systems", Abstract, Pages 160-163.					
Ty	A21	Morten Ibsen et al., "8- and 16-Channel All-Fiber DFB Laser WDM Transmitters with Integrated Pump Redundancy", 1999 IEEE, Pages 1114-1116.					
EXAMINER Dung Tran				DATE CONSIDERED 8/20/04			
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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: <b>01/22/03</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number				09/927,439	
Filing Date				08/13/2001	
First Named Inventor				John MELLERT et al.	
Group Art Unit				2872	
Examiner Name				Unassigned	
Attorney Docket Number				033337-0131	
Sheet	1	of	1		

[illegible][illegible]

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature	<i>Lyung Tran</i>	Date Considered	8/20/04
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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